

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

Check one ☐ a) () the owner of the small business concern identified below:
☒ b) (x) an official of the small business concern empowered to act on behalf of the concern identified below:

Insert company name and address ☐ NAME OF CONCERN: SILICON INTEGRATED SYSTEMS CORP.
 ADDRESS OF CONCERN: 2F, NO. 17, INNOVATION ROAD I, SCIENCE-BASED INDUSTRIAL PARK, HSINCHU, TAIWAN, R.O.C.

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

Insert title of application, inventor's names

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled "HEAT SINK HAVING AN ASSEMBLING DEVICE" by inventor(s) KOU CHIH HSIEN described in

Check one, fill in if b) or c)

☒ a) (x) the specification filed herewith.
☐ b) () application serial no. _____, filed _____
☐ c) () patent no. _____, issued _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c), if that person had made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). **NOTE:** Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Insert co-owners, if any, and check a), b) or c)

NAME _____
 ADDRESS _____
 a) () INDIVIDUAL b) () SMALL BUSINESS CONCERN c) () NONPROFIT ORGANIZATION

NAME _____
 ADDRESS _____
 a) () INDIVIDUAL b) () SMALL BUSINESS CONCERN c) () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereof, or any patent to which this verified statement is directed.

Insert ☒ NAME Sheau-Ming Samuel Liu
 TITLE President
 ADDRESS No. 16, Creation Rd. 1, Science-Based Industrial Park, Hsinchu, Taiwan

Sign, date ☒ SIGNATURE [Signature] DATE February 5, 1997

United States Patent Application

INSTRUCTIONS

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

"HEAT SINK HAVING AN ASSEMBLING DEVICE"

Insert TITLE of invention

Check a or b

The specification of which

a. ☒ is attached hereto

b. ☐ was filed on

as application serial no.

and was amended on (if applicable).

(in the case of PCT-filed application)

described and claimed in international no. filed

and as amended on (if any), which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a). (Reprinted on back side).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent of inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

a. ☒ no such applications have been filed.

b. ☐ such applications have been filed as follows:

FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
ALL FOREIGN APPLICATIONS, IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S)			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Adriano, Sarah B. Reg. No. 34,470	Gabilan, Mary Susan Reg. No. P-38,729	Plunkett, Theodore Reg. No. 37,209
Batzli, Brian H. Reg. No. 32,960	Gates, George H. Reg. No. 33,500	Pollinger, Steven J. Reg. No. 35,326
Beard, John L. Reg. No. 27,612	Golla, Charles E. Reg. No. 26,896	Reich, John C. Reg. No. 37,703
Beck, Robert C. Reg. No. 28,184	Gorman, Alan G. Reg. No. P-38,472	Reiland, Earl D. Reg. No. 25,767
Bejin, Thomas E. Reg. No. 37,089	Gould, John D. Reg. No. 18,223	Schmidt, Cecil C. Reg. No. 20,566
Berman, Charles Reg. No. 29,249	Gresens, John J. Reg. No. 33,112	Schuman, Mark D. Reg. No. 31,197
Bogucki, Raymond A. Reg. No. 17,426	Hamre, Curtis B. Reg. No. 29,165	Schumann, Michael D. Reg. No. 30,422
Bruess, Steven C. Reg. No. 34,130	Hassing, Thomas A. Reg. No. 36,159	Sebald, Gregory A. Reg. No. 33,280
Byrne, Linda M. Reg. No. 32,404	Hillson, Randall A. Reg. No. 31,838	Sharp, Janice A. Reg. No. 34,051
Carlson, Alan G. Reg. No. 25,959	Hollingsworth, Mark A. Reg. No. 38,491	Smith, Jerome R. Reg. No. 35,684
Carter, Charles G. Reg. No. 35,093	Kastelic, Joseph M. Reg. No. 37,160	Sorensen, Andrew D. Reg. No. 33,606
Caspers, Philip P. Reg. No. 33,227	Kowalchuk, Alan W. Reg. No. 31,535	Stinebruner, Scott A. Reg. No. 38,323
Clifford, John A. Reg. No. 30,247	Kowalchuk, Katherine M. Reg. No. 36,848	Strawbridge, Douglas A. Reg. No. 28,376
Conrad, Timothy R. Reg. No. 30,164	Krull, Mark A. Reg. No. 34,205	Strodthoff, Kristine M. Reg. No. 34,259
Crawford, Robert Reg. No. 32,122	Lacy, Paul A. Reg. No. P-38,946	Sumner, John P. Reg. No. 29,114
Daignault, Ronald A. Reg. No. 25,968	Lasky, Michael B. Reg. No. 29,555	Summers, John S. Reg. No. 24,216
Daley, Dennis R. Reg. No. 34,994	Lynch, David W. Reg. No. 36,204	Tellekson, David K. Reg. No. 32,314
Daulton, Julie R. Reg. No. 36,414	Mau, Michael L. Reg. No. 30,087	Underhill, Albert L. Reg. No. 27,403
Dempster, Shawn B. Reg. No. 34,321	McDonald, Daniel W. Reg. No. 32,044	Vandenburgh, J. Derek Reg. No. 32,179
DiPietro, Mark J. Reg. No. 28,707	McDonald, Wendy M. Reg. No. 32,427	Vietzke, Lance L. Reg. No. 36,708
Edell, Robert T. Reg. No. 20,187	Mueller, Douglas P. Reg. No. 30,300	Welter, Paul A. Reg. No. 20,890
Farber, Michael B. Reg. No. 32,612	Nelson, Albin J. Reg. No. 28,650	Williams, Douglas J. Reg. No. 27,054
Fauver, Cole M. Reg. No. 36,797		Wood, Gregory B. Reg. No. 28,133

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Merchant & Gould to the contrary.

Please direct all correspondence in this case to Merchant, Gould, Smith, Edell, Welter & Schmidt, at the address indicated below (or if no address is specified, the first address):

- ☒ 3100 Norwest Center, Minneapolis, MN 55402-4131 Telephone No. (612) 332-5300
- ☐ 1000 Norwest Center, St. Paul, MN 55101-2701 Telephone No. (612) 298-1055
- ☐ Suite 400, 11150 Santa Monica Boulevard, Los Angeles, CA 90025-3302 Telephone No. (310) 445-1140

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

201	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
202	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
203	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
SIGNATURE OF INVENTOR 201		SIGNATURE OF INVENTOR 202		SIGNATURE OF INVENTOR 203
DATE		DATE		DATE

For Additional Inventors:

- ☐ Check box and attach sheet with same information, including date and signature.

Each inventor must
sign & date

Note: No legalization or
other witness required